

Joint Audit & Risk/Finance Committees Agenda

Meeting to be held on Thursday 16 November 2023 at 09:00 in room H/G21, Heslington Hall

SECTION 1: Standing Items

No.	Item	Item Code	Status	Lead(s)
1.1 <i>09:00hrs</i>	Apologies for absence	N/A	To note	Secretary
1.2 ---	Declarations of interest in items on the agenda	N/A	To note	All members
1.3 <i>09:05hrs</i>	Introduction from the Treasurer to the roles of both Committees in the accounts approval process	Verbal report	To note	Treasurer

SECTION 2: Annual Report and Accounts

No.	Item	Item Code	Status	Lead(s)
2.1 <i>09:10hrs</i>	Draft Annual Report and Financial Statements for the year ended 31 July 2023	AU/FC.23-24/01	To endorse for Council approval	Group Financial Controller
2.2 <i>09:25hrs</i>	Management report on the basis of the accounts preparation (incl going concern assumption)	AU/FC.23-24/02	To note	Group Financial Controller
2.3 <i>09:40hrs</i>	Assumptions/outcomes in respect of the University pension schemes	Presentation	To note	Mercers (<i>Clarke Bedford</i>)
2.4 <i>09:50hrs</i>	Internal Audit Annual Report (<i>also submitted to separate ARC meeting</i>)	AU/FC.23-24/03	To consider	PwC (<i>Karen Finlayson/Kate Ellis</i>)

2.5 <i>10:00hrs</i>	Assurance in respect of litigation, fraud and whistle-blowing	Verbal report	To note	Group Financial Controller
2.6 <i>10:05hrs</i>	External Audit Year-end Report	AU/FC.23-24/04	To consider	Grant Thornton (<i>Phyllis Dzoboku/Deborah Watson</i>)
2.7 <i>10:25hrs</i>	Management representation letter to the external auditors	AU/FC.23-24/05	To endorse for Council approval	Group Financial Controller
END <i>10:30hrs</i>				